**ANNEX IV A: INFORMATION TO BE PROVIDED ON NOTIFICATION**

**Notification information for transboundary movements/shipments of waste**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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| **1. Exporter - notifier** Registration No: | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | **3. Notification No**: | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Name: | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | **Notification concerning** | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | |
| Address: | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | A.(i) | Individual shipment: | | | | | | | | | | | | | | ⬜ | | | (ii) | | | | Multiple shipments: | | | | | | | | | | ⬜ | | | |
|  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | B.(i) | Disposal: | | | | | | | | | | | | | | ⬜ | | | (ii) | | | |  | | | | | | | | | | ⬜ | | | |
| Contact person: | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Tel: | | |  | | | | | | | | | | Fax: | | | | |  | | | | | | | | | | | | **4. Total intended number of shipments**: | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | |
| E-mail: | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | **5. Total intended quantity**: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **2. Importer - consignee** Registration No: | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | Tonnes (Kg): | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Name: | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | m3: | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Address: | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | **6. Intended period of time for shipment(s):** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | First departure: | | | | | |  | | | | | | | | | | | | | Last departure: | | | | | | | | | | |  | | | | | |
| Contact person: | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | **7. Packaging type(s):** | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | |
| Tel: | | |  | | | | | | | | | Fax: | | | | | |  | | | | | | | | | | | | **Special handling requirements:** | | | | | | | | | | | | | | | | | | | | | | | Yes: | | | | ⬜ | | | | No: | | | ⬜ | |
| E-mail: | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | **11. Disposal operation(s)** | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | |
| **8. Intended carrier(s)** Registration No: | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | D-code: | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Name | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | Technology employed: | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | |
| Address: | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Contact person: | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | Reason for export: | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | |
| Tel: | | | |  | | | | | | | | | | | | Fax: | | | | |  | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| E-mail: | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | **12. Designation and composition of the waste**: (*nature and the concentration of the most hazardous components*) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Means of transport: | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **9. Waste generator(s) - producer(s)** Registration No: | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | |
| Name: | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Address: | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | **13. Physical characteristics**: | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | |
| Contact person: | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Tel: |  | | | | | | | | | | | | | | | Fax: | | | | |  | | | | | | | | | **14. Waste identification** *(fill in relevant codes)* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| E-mail: | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | (i) Basel Annex VIII (or IX if applicable): | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | |
| Site and process of generation *(6)* | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | (ii) OECD code (if different from (i)): | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | (iii) EC list of wastes: | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **10. Disposal facility**: | | | | | | | | | ⬜ | | | | | |  | | | | | | | | | | | | ⬜ | | | (iv) National code in country of export: | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | |
| Registration No: | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | (v) National code in country of import: | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | |
| Name: | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | (vi) Other (specify): | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Address: | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | (vii) Y-code: | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | (viii) H-code *:* | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Contact person: | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | (ix) UN class *:* | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Tel: | |  | | | | | | | | | | | | | | Fax: | | | |  | | | | | | | | | | (x) UNNumber: | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | |
| E-mail: | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | (xi) UN Shipping name: | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | |
| Actual site of disposal/recovery: | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | (xii) Customs code(s) (HS): | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | |
| **15. (a) Countries/States concerned,** (b) Code no. of competent authorities where applicable, (c) Specific points of exit or entry (border crossing or port) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| State of export - dispatch | | | | | | | | | | State(s) of transit (entry and exit) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | State of import - destination | | | | | | | | | | | | |
| (a) | | | | | | | | | |  | | | | | | | | | | | | | |  | | | | | | | |  | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | |
| (b) | | | | | | | | | |  | | | | | | | | | | | | | |  | | | | | | | |  | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | |
| (c) | | | | | | | | | |  | | | | | | | | | |  | | | |  | | | | |  | | |  | | | | | | | | | | | |  | | | | | | | | |  | | | | | | | | | | | | |
| **16.Customs offices of entry and/or exit and/or export (European Community):** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Entry: | |  | | | | | | | | | | | | | | | | | | | | Exit: |  | | | | | | | | | | | | | | | | | | Export: | | | | |  | | | | | | | | | | | | | | | | | | | |
| **17. Exporter's - notifier's / generator's - producer's declaration**: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| I certify that the information is complete and correct to my best knowledge. I also certify that legally enforceable written contractual obligations have been entered into and that any applicable insurance or other financial guarantee is or shall be in force covering the transboundary movement. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **Information concerning the contract between the exporter and disposer. (*add as Annex*)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | **18. Number of** | | | | | | |
| Exporter's - notifier's name: | | | | | | | | | | |  | | | | | | | | | | | | | | | Date: | |  | | | | | Signature: | | | | | | | | |  | | | | | | | | | | | | | | | | | **annexes attached** | | | | | | |
| Generator's - producer's name: | | | | | | | | | | |  | | | | | | | | | | | | | | | Date: | |  | | | | | Signature: | | | | | | | | |  | | | | | | | | | | | | | | | | |  | | | | | | |
| **FOR USE BY COMPETENT AUTHORITIES** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **19. Acknowledgement from the relevant competent authority of countries of import - destination / transit/ export - dispatch**: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | **20. Written consent****to the movement provided by the** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **competent authority of** (country): | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | |
| Country: | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | Consent given on: | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Notification received on: | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | Consent valid from: | | | | | | | |  | | | | | | | | | | | | | | | | until: | | | |  | | | | | | | |
| Acknowledgement sent on: | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | Specific conditions: | | | | | | | | No: | | | | | | ⬜ | | | | | |  | | | | | | | | | | | | | ⬜ Yes | | |
| Name of competent authority: | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | Name of competent authority: | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | |
| Stamp and/or signature: | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | Stamp and/or signature: | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | |
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| **21. Specific conditions on consenting to the movement document or reasons for objecting** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| Reason for waste export? | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

**List of abbreviations and codes for the notification document**

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| --- | --- |
| **DISPOSAL OPERATIONS**  D1 Deposit into or onto land, (e.g., landfill, etc.)  D2 Land treatment, (e.g., biodegradation of liquid or sludgy discards in soils, etc.)  D3 Deep injection, (e.g., injection of pumpable discards into wells, salt domes or naturally occurring repositories, etc.)  D4 Surface impoundment, (e.g., placement of liquid or sludge discards into pits, ponds or lagoons, etc.)  D5 Specially engineered landfill, (e.g., placement into lined discrete cells which are capped and isolated from one another and the environment, etc.)  D6 Release into a water body except seas/oceans  D7 Release into seas/oceans including sea-bed insertion  D8 Biological treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list  D9 Physico-chemical treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list (e.g., evaporation, drying, calcination, etc.)  D10 Incineration on land  D11 Incineration at sea  D12 Permanent storage, (e.g., emplacement of containers in a mine, etc.)  D13 Blending or mixing prior to submission to any of the operations in this list  D14 Repackaging prior to submission to any of the operations in this list  D15 Storage pending any of the operations in this list  D16 Use as a fuel (other than in direct incineration) or other means to generate energy  D17 Solvent reclamation/regeneration  D18 Recycling/reclamation of organic substances which are not used as solvents  D19 Recycling/reclamation of metals and metal compounds  D20 Recycling/reclamation of other inorganic materials  D21 Regeneration of acids or bases  D22 Recovery of components used for pollution abatement  D23 Recovery of components from catalysts  D24 Used oil re-refining or other reuses of previously used oil  D25 Land treatment resulting in benefit to agriculture or ecological improvement  D26 Uses of residual materials obtained from any of the operations numbered D1-D25  D27 Exchange of wastes for submission to any of the operations numbered D1-D26  D28 Accumulation of material intended for any operation in this list. | |
| **PACKAGING TYPES**   1. Drum 2. Wooden barrel 3. Jerrican 4. Box 5. Bag 6. Composite packaging 7. Pressure receptacle 8. Bulk 9. Other (specify) | **H-CODE AND UN CLASS**  UN Class H-code Characteristics  1 H1 Explosive  3 H3 Flammable liquids  4.1 H4.1 Flammable solids  4.2 H4.2 Substances or wastes liable to spontaneous combustion  4.3 H4.3 Substances or wastes which, in contact with water, emit flammable gases  5.1 H5.1 Oxidizing  5.2 H5.2 Organic peroxides  6.1 H6.1 Poisonous (acute)  6.2 H6.2 Infectious substances  8 H8 Corrosives  9 H10 Liberation of toxic gases in contact with air or water  9 H11 Toxic (delayed or chronic)  9 H12 Ecotoxic  9 H13 Capable, by any means, after disposal of yielding another material, e. g., leachate, which possesses any of the characteristics listed above |
| **MEANS OF TRANSPORT**  R = Road  T = Train/rail  S = Sea  A = Air  W = Inland waterways |
| **PHYSICAL CHARACTERISTICS**   1. Powdery/powder 2. Solid 3. Viscous/paste 4. Sludgy 5. Liquid 6. Gaseous 7. Other (specify) |

**NOTES**

1/ Full name and address, telephone, telex or telefax number and the name, address, telephone, telex, or telefax number of the person to be contacted.

2/ Full name and address, telephone, telex or telefax number.

3/ Information to be provided on relevant insurance requirements and how they are met by exporter, carrier, and disposer.

4/ The nature and the concentration of the most hazardous components, in terms of toxicity and other dangers presented by the waste both in handling and in relation to the proposed disposal method.

5/ In so far as this is necessary to assess the hazard and determine the appropriateness of the proposed disposal operations.